Generalized Legendrian racks: Classification, tensors, and knot coloring invariants Math 475: Senior Essay Final Presentation

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Outline

Historical background

- Knots and racks
- GL-racks

2 Applications to Legendrian knot theory

- The Legendrian isotopy problem
- Distinguishing results

Tensor products of racks and GL-racks

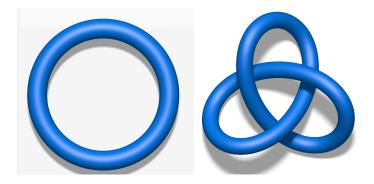
- Mediality
- Tensor products
- Nonmedial tensors

4 Group-theoretic classifications

- Classifying GL-structures
- GL-rack automorphism groups
- Categorical centers
- Equivalence of categories

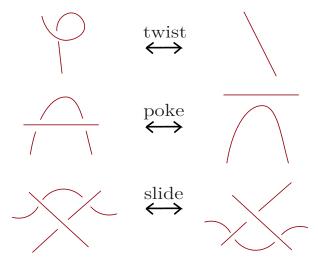
Questions for future research

Motivation: Distinguishing knots



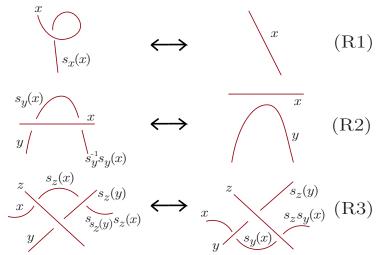
Reidemeister moves (1/2)

Two knots are equivalent up to ambient isotopy if and only if they're related by a finite sequence of *Reidemeister moves*.



Reidemeister moves (2/2)

These moves inspire algebraic objects called racks and quandles.



Definition

Let X be a set, let $s : X \to S_X$ be a map, and write $s_x := s(x)$. We call the pair (X, s) a **rack** if

$$s_x s_y = s_{s_x(y)} s_x$$

for all $x, y \in X$. If in addition $s_x(x) = x$ for all $x \in X$, then we say that (X, s) is a **quandle**.

Example (Permutation racks)

Fix a permutation $\sigma \in S_X$, and define $s_x := \sigma$ for all $x \in X$. Then $(X, \sigma)_{\text{perm}} := (X, s)$ is a rack, and it's a quandle if and only if $\sigma = \text{id}_X$.

Racks and quandles (2/2)

Here are two examples of quandles.

Example (Conjugation quandles)

Let X be a union of conjugacy classes in a group G, and consider the conjugation maps

$$c_x^G(y) := xyx^{-1}.$$

Then Conj $X := (X, c^G)$ is a quandle.

Example (Takasaki kei)

Let A be an abelian group. For all $a, b \in A$, define

$$s_b(a):=2b-a.$$

Then T(A) := (A, s) is an involutory quandle.

Rack homomorphisms

Definition

A map $\varphi : (X, s) \to (Yt)$ is a **rack homomorphism** if, for all $x \in X$,

$$\varphi s_{x} = t_{\varphi(x)}\varphi.$$

Example

Group homomorphisms $\varphi: G \to H$ are also quandle homomorphisms $\varphi: \operatorname{Conj} G \to \operatorname{Conj} H$:

$$\varphi c_x^{\mathcal{G}}(y) = \varphi(xyx^{-1}) = \varphi(x)\varphi(y)\varphi(x)^{-1} = c_{\varphi(x)}^{\mathcal{H}}\varphi(y).$$

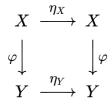
Example

Every rack structure $s : (X, s) \rightarrow \text{Conj } S_x$ is a homomorphism:

$$ss_{x}(y) = s_{s_{x}(y)} = s_{s_{x}(y)}s_{x}s_{x}^{-1} = s_{x}s_{y}s_{x}^{-1} = c_{s_{x}}^{S_{x}}(s_{y}) = c_{s(x)}^{S_{x}}s(y).$$

Categorical centers

Recall: The **center** of a category C is the commutative monoid Z(C) of natural endomorphisms of the identity functor $\mathbf{1}_{C}$.



Essentially, elements of $Z(\mathcal{C})$ are collections η of morphisms in \mathcal{C} that commute with all other morphisms φ in \mathcal{C} .

Example

Let A-mod be the category of modules over a ring A. Then the categorical center Z(A-mod) is isomorphic to the ring-theoretic center Z(A) of A.

A canonical rack automorphism

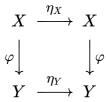
Every rack R = (X, s) has a canonical automorphism θ_R defined by $x \mapsto s_x(x)$.

Also, R is a quandle if and only if $\theta_R = id_X$.

Theorem (Szymik, 2018)

Let Rack be the category of racks. Then the collection of θ_R 's generates the categorical center $Z(Rack) \cong \mathbb{Z}$.

That is, the following diagram commutes for all rack homomorphisms $\varphi: X \to Y$ if and only if $\eta_X = \theta_X^k$ for some $k \in \mathbb{Z}$, and same for Y:



Theorem (Joyce, 1982)

Let Adconj : Rack \rightarrow Grp be the left adjoint to the functor Conj : Grp \rightarrow Rack. If Q(K) is the "fundamental quandle" of a link $K \subset S^3$, then

Adconj $\mathcal{Q}(K) \cong \pi_1(S^3 \setminus K)$.

Theorem (Fenn–Rourke, 1992)

A similar result holds for framed links N(L) in connected 3-manifolds M, but with

$$\pi_2(M,\overline{M\setminus N(L)})$$

instead of $\pi_1(S^3 \setminus K)$.

Definition

A **GL-structure** on R = (X, s) is a rack automorphism $u \in Aut R$ such that $us_x = s_x u$ for all $x \in X$. We call (R, u) a **GL-rack**.

Example (Permutation GL-racks)

GL-structures on permutation racks $(X, \sigma)_{\text{perm}}$ are permutations $u \in S_X$ that commute with σ .

Example (Conjugation GL-quandles)

Given a group G and a central element $z \in Z(G)$, multiplication by z is a GL-structure on Conj G.

Definition

A **GL-rack homomorphism** is a rack homomorphism that commutes with/intertwines GL-structures.

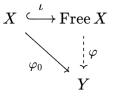
Example

- If (R, u) is a GL-rack, then θ_R and u are GL-rack automorphisms.
- In particular, we have an automorphism

$$\mathbf{d} := \theta_R^{-1} \mathbf{u}^{-1}.$$

Free racks and GL-racks (1/2)

Due to universal algebra, **free racks** and **free GL-racks** exist and are characterized by a universal property similar to that of free groups or free modules:



Example

- The free rack on one generator is the permutation rack $(\mathbb{Z}, \tau)_{\text{perm}}$, where $\tau : \mathbb{Z} \to \mathbb{Z}$ is the translation $k \mapsto k + 1$.
- The free GL-rack on one generator is the permutation GL-rack $(\mathbb{Z}^2, \sigma)_{\text{perm}}$, u, where σ and u increment each coordinate by 1.

The free rack on a set X is constructed in more or less the same way we construct free groups.

- Let the universe of words W(X) be the set containing X and $x, s_y(x), s_y^{-1}(x)$ for all $x, y \in W(X)$.
- 2 Let V(X) be W(X) modulo the congruence generated by the relations

$$s_y^{-1}s_y(x) \sim x \sim s_y s_y^{-1}(x)$$
 and $s_z s_y(x) \sim s_{s_z(y)}s_z(x)$.

③ This gives us a rack structure $s \in S_{V(X)}$, so we define

$$\operatorname{Free}_{\operatorname{Rack}} X := (V(X), s).$$

Free GL-racks are defined similarly.

- The earliest predecessors to GL-racks were introduced by Kulkarni–Prathamesh (2017).
- Ceniceros–Elhamdadi–Nelson (2021) introduced *Legendrian racks*, which are GL-racks in which u = d; that is,

$$\theta_R = \mathbf{u}^{-2}.$$

• GL-racks were introduced independently by Kimura (2023) and Karmakar–Saraf–Singh (2023).

Outline

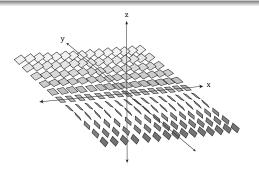
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- The Legendrian isotopy problem
- Distinguishing results
- Tensor products of racks and GL-racks
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- Questions for future research

Definition

The standard contact structure on \mathbb{R}^3 , denoted by ξ_{std} , is an assignment of a plane to each point (x, y, z) defined by $dz - y \, dx = 0$.

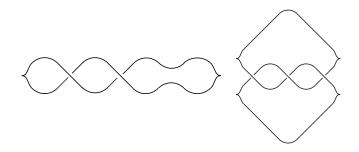


When y = 0, the planes are flat. When moving in the positive *y*-direction, the slopes grow more negative; when moving in the negative *y*-direction, the slopes grow more positive.

Legendrian knots

Definition

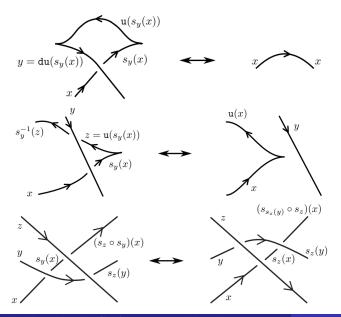
A smooth knot is called **Legendrian** if it lies everywhere tangent to ξ_{std} .



Legendrian knots are usually studied via their *front projections* onto the *xz*-plane, viewed from the negative *y*-axis.

- Cusps instead of vertical tangencies
- Only one type of crossing

Legendrian Reidemeister moves

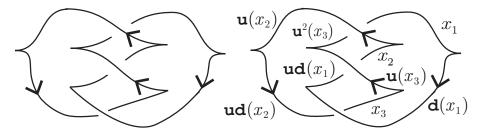


Example of $\mathcal{G}(\Lambda)$: Generators (1/2)

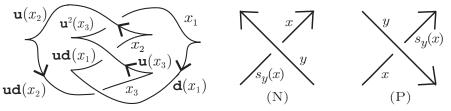
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- To each Legendrian link Λ, we'll assign a GL-rack G(Λ) whose isomorphism type is a Legendrian invariant.
- The idea is to convert Legendrian front projections into quotients of free GL-racks.

Let's compute the GL-rack of the Legendrian trefoil shown below.



Example of $\mathcal{G}(\Lambda)$: Relators (2/2)



 $\mathcal{G}(\Lambda)$ is the free GL-rack on three generators x_1, x_2, x_3 modulo the congruence generated by the relations

$$\begin{cases} s_{d(x_1)}(x_3) = ud(x_2), \\ s_{u(x_3)}(x_2) = ud(x_1), \\ s_{u(x_2)}(x_1) = u^2(x_3), \end{cases}$$

which we obtain by applying (N) or (P) at each crossing.

To distinguish Λ from some other Legendrian knot Λ' , it suffices to show that $\mathcal{G}(\Lambda)$ and $\mathcal{G}(\Lambda')$ are nonisomorphic.

To do that, it suffices to find a GL-rack L such that

```
|\operatorname{Hom}_{\operatorname{GLR}}(\mathcal{G}(\Lambda), L)| \neq |\operatorname{Hom}_{\operatorname{GLR}}(\mathcal{G}(\Lambda'), L)|,
```

an inequality of coloring numbers.

Remark

Later, we'll discuss a "nice" subcategory of GL-racks that *enhance* the coloring number as a Legendrian invariant.

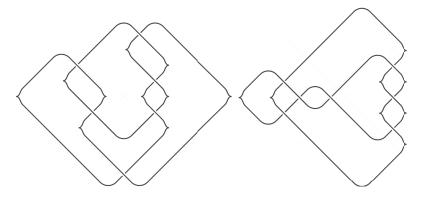
Question (Kimura, 2023)

Can GL-racks distinguish Legendrian knots with the same classical invariants?

Answer (T., 2025)

Yes—even better, GL-racks distinguish between Legendrian knots with the same homological invariants!

Filling out the Legendrian knot atlas (1/6)



Question (Chongchitmate-Ng, 2013)

Are these Legendrian 62 knots distinct?

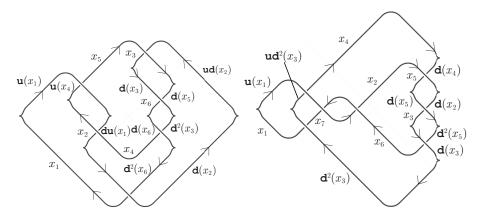
Answer (Dynnikov–Prasolov, 2021)

Yes! Just give us 146 pages to develop the machinery.

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Filling out the Legendrian knot atlas (2/6)



Let Λ_1 and Λ_2 be these Legendrian knots. We'll distinguish their GL-racks using coloring numbers.

We compute $\mathcal{G}(\Lambda_1)$ and $\mathcal{G}(\Lambda_2)$ to be free GL-racks modulo the congruences generated by the relations

$$\begin{split} \mathcal{G}(\Lambda_1) \begin{cases} s_{x_1} u(x_4) = x_5, & s_{x_4} du(x_1) = x_2, \\ s_{x_2}(x_1) = d^2(x_6), & s_{x_5}(x_3) = ud(x_2), \\ s_{x_3}(x_6) = d(x_5), & s_{x_6}(x_4) = d^2(x_3). \end{cases} \\ \mathcal{G}(\Lambda_2) \begin{cases} s_{x_1} ud^2(x_3) = x_4, & s_{x_5}(x_3) = d(x_2), \\ s_{x_1}(x_6) = x_7, & s_{x_3}(x_6) = d^2(x_5), \\ s_{x_6}(x_2) = u(x_1), & s_{x_3}(x_7) = x_1. \\ s_{x_2}(x_5) = d(x_4), \end{cases} \end{split}$$

Filling out the Legendrian knot atlas (4/6)

Theorem

 Λ_1 and Λ_2 are Legendrian nonisotopic.

Proof sketch.

Using a computer search, we look for GL-racks L such that

 $|\operatorname{Hom}_{\operatorname{GLR}}(\mathcal{G}(\Lambda_1),L)| \neq |\operatorname{Hom}_{\operatorname{GLR}}(\mathcal{G}(\Lambda_2),L)|.$

Such an *L* does exist! Namely, let $\sigma \in S_3$ be the 3-cycle (123). Consider the permutation GL-rack

$$L := ((\{1, 2, 3\}, \sigma)_{\text{perm}}, \sigma^{-1}).$$

There are three GL-rack homomorphisms $\mathcal{G}(\Lambda_1) \to L$, but none for $\mathcal{G}(\Lambda_2)$.

Filling out the Legendrian knot atlas (5/6)

Similarly, one can show that these Legendrian knots are nonequivalent...

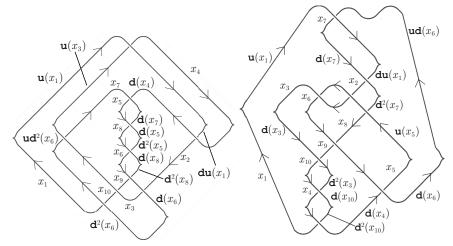


Figure: Legendrian 8_{10} knots with classical invariants (tb, rot) = (-8, 3).

Filling out the Legendrian knot atlas (6/6)

... and that these Legendrian knots are also nonequivalent.

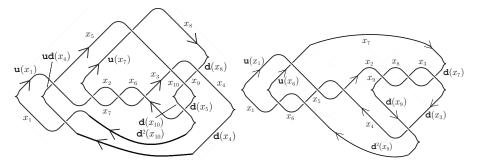


Figure: Legendrian 8_{13} knots with classical invariants (tb, rot) = (-6, 1).

This settles two new conjectures of Petkova–Schwartz (2025) and completes the classification of Legendrian 8_{13} knots!

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Tensor products of racks and GL-racks

- Mediality
- Tensor products
- Nonmedial tensors
- Group-theoretic classifications
 - Classifying GL-structures
 - GL-rack automorphism groups
 - Categorical centers
- Equivalence of categories

Questions for future research

Medial racks (1/2)

Definition

A rack (X, s) is called *medial* or *abelian* if any of the following equivalent conditions hold:

• The map

$$(x,y)\mapsto s_y(x)$$

is a rack homomorphism $X \times X \to X$.

The subgroup

$$\langle s_x s_y^{-1} \mid x, y \in X \rangle \leq \operatorname{Aut} X$$

is abelian.

• For all $x, y, z \in X$,

$$s_{s_x(z)}s_y=s_{s_x(y)}s_z.$$

Medial racks (2/2)

Example

All permutation racks and Takasaki kei are medial.

Example

A rack (X, s) is medial if and only if its *dual rack* (X, s^{-1}) is medial.

Example

Up to isomorphism, there is exactly one nonmedial rack of order 4 or lower. Let $X := \{1, 2, 3, 4\}$. In cycle notation, let

$$s_1 := id_X, \quad s_2 := (34), \quad s_3 := (24), \quad \text{and} \ s_4 := (23).$$

Then (X, s) is a nonmedial quandle because, for example,

$$s_{s_1(3)}s_2 = (24)(34) \neq (34)(24) = s_{s_1(2)}s_3.$$

Mediality and morphisms

Due to universal algebra, we have the following.

Proposition (Grøsfjeld, 2021)

Let R and M be racks. If M is medial, then the hom-set

 $\operatorname{Hom}_{\operatorname{Rack}}(R, M)$

has a canonical medial rack structure.

A similar result holds for GL-racks.

Remark

If Λ is a Legendrian link and M is a medial GL-rack, then the isomorphism class of the medial GL-rack

```
Hom_{GLR}(\mathcal{G}(\Lambda), M)
```

is a Legendrian invariant.

Question

Let $\mathsf{Rack}_{\mathrm{med}}$ and $\mathsf{GLR}_{\mathrm{med}}$ be the categories of medial racks and GL-racks. Are these categories closed symmetric monoidal?

Answer

Yes—but not under the categorical/Cartesian product.

Universal algebra says that, just like with modules, **tensor products** of racks and GL-racks exist and are characterized by a universal property. No one's really studied rack tensors at length—let's be the first!

Just like with bilinear maps of modules, we can consider (*GL*-)rack bihomomorphisms.

Definition

Let X, Y, Z be racks. A map $\beta_0 : X \times Y \to Z$ is a **rack bihomomorphism** if, for all $x \in X$ and $y \in Y$, the restricted maps

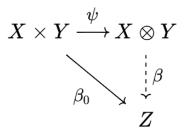
$$\beta_0(-,y): X \to Z$$
 and $\beta_0(x,-): Y \to Z$

are rack homomorphisms. **GL-rack bihomomorphisms** are defined similarly.

Example

In A-mod, the composition of linear maps defines a bilinear map on hom-sets. Similar statements hold in ${\sf Rack}_{\rm med}$ and ${\sf GLR}_{\rm med}.$

Extending the analogy with modules, **tensor products** of racks and GL-racks exist and are characterized up to isomorphism by a universal property:



Thanks to universal algebra again, we have the following.

Theorem

The "medialized" rack tensor product \otimes induces a closed symmetric monoidal structure on ${\sf Rack}_{\rm med}$, and similarly for ${\sf GLR}_{\rm med}$.

Question

How much of this structure is lost when considering nonmedial tensor products in Rack and GLR, the category of GL-racks?

We'll lose the closed structure, but what about the rest?

Tensors in "noncommutative" settings (1/2)

- Racks and groups are *noncommutative* algebraic theories.
- Universal algebra says that all algebraic theories have tensor products that satisfy a universal bihomomorphism property.
- However, they're often quite pathological in noncommutative algebraic theories.

Example

The universal-algebraic tensor product of groups is isomorphic to the usual \mathbb{Z} -module tensor product of their abelianizations:

$$G\otimes H\cong G^{\mathsf{ab}}\otimes_{\mathbb{Z}} H^{\mathsf{ab}}.$$

In particular,

- there is no tensor unit, and
- all tensor products are abelian.

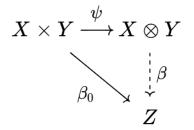
Question

Do tensor products of racks and GL-racks face similar limitations?

Existence of a tensor unit (1/3)

Theorem

In Rack and GLR, the free objects on one generator are tensor units.



Proof sketch (1/3).

● For the free rack F on one element, it suffices to show that F satisfies the universal property of R ⊗ F.

• We need to construct ψ and β given β_0 .

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Proof sketch (2/3).

Oblight Identify F ≅ (Z, τ)_{perm}, and show that the map ψ : R × Z → X defined by

$$(x,k)\mapsto \theta_R^k(x)$$

is a bihomomorphism. (Use the fact that $\theta^k \in Z(Rack)$.)

③ Given a bihomomorphism β_0 : *R* × ℤ → *S*, define β : *X* → *Y* to be the restriction

 $x \mapsto \beta_0(x, 0).$

• Check commutativity. Uniqueness follows from the surjectivity of ψ , completing the proof for racks.

Proof sketch (3/3).

For GL-racks, identify F = ((Z², σ)_{perm}, u), and verify the universal property with

$$\psi(x,m,n) := \mathfrak{u}^n \theta^m_R(x)$$
 and $\beta(x) := \beta_0(x,0,0)$

Corollary

Tensor products of racks are not necessarily medial, even if one of the tensor factors is; and similarly for tensor products of GL-racks.

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Questions for future research

The definition of GL-racks given in 2023 was much longer than our equivalent one. This made the original authors pose the following question.

Question (Karmakar–Saraf–Singh, 2023)

Given a rack R, what is the set U_R of all possible GL-structures on R?

To answer this, we can just rephrase our new definition.

Answer

• U_R is the centralizer

$$U_R = C_{\operatorname{Aut} R}(\operatorname{Inn} R) \trianglelefteq \operatorname{Aut} R,$$

where Inn R is the (normal) subgroup of Aut R generated by the s_x 's.

• $(R, u_1) \cong (R, u_2)$ if and only if u_1 and u_2 are conjugate in Aut R.

Classifying results (1/3)

So, classifying GL-structures reduces to a group theory exercise for certain infinite families of racks!

Proposition (Permutation GL-racks)

Let $P = (X, \sigma)_{\text{perm}}$ be a permutation rack. Then

 $U_P = C_{S_X}(\sigma) = \operatorname{Aut} P,$

and U_P/\sim is the set of conjugacy classes of $C_{S_X}(\sigma)$.

Proposition (Conjugation GL-quandles)

Let G be a group, and let Q := Conj G.

- If G is abelian, then $U_Q = S_G$, and U_Q/\sim is the set of conjugacy classes of S_G .
- On the other hand, if G is centerless, then $U_Q = {id_G}$.

Proposition

If A is an abelian group without 2-torsion, then the only GL-structure on the Takasaki kei T(A) is id_A .

Proof sketch.

For all such Takasaki kei, the automorphism group G and inner automorphism group H are known to be certain semidirect products. Use this classification to show that

$$U_{\mathcal{T}(A)} = C_{\mathcal{G}}(H) \subseteq \{(0, \psi) \in \mathcal{G} : \psi|_{2A} = \mathsf{id}_{2A}\} = \{\mathsf{id}_A\}.$$

Classifying results (3/3)

Definition

For all $n \in \mathbb{N}$, the Takasaki kei $R_n := T(\mathbb{Z}/n\mathbb{Z})$ is a **dihedral quandle**.

Proposition

For all even $n \geq 2$,

$$U_{R_n} \cong \begin{cases} \mathbb{Z}/2\mathbb{Z} & \text{if } 4 \nmid n, \\ \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} & \text{if } 4 \mid n. \end{cases}$$

If $4 \nmid n$, then the two GL-structures on U_{R_n} yield nonisomorphic GL-quandles. If $4 \mid n$, there's exactly one isomorphic pair.

Proof sketch.

Once again, Aut R_n and Inn R_n are certain semidirect products. Compute how conjugation works in these semidirect products to compute the centralizer of Inn R_n in Aut R_n .

Automorphism groups

Similarly, we rephrase the definition of a GL-rack automorphism as follows.

Proposition

For all GL-racks (R, u),

$$\operatorname{Aut}_{\operatorname{GLR}}(R, u) = C_{\operatorname{Aut} R}(u).$$

Example

For all dihedral GL-quandles (R_n, u) ,

$$\operatorname{Aut}_{\operatorname{GLR}}(R_n, \mathfrak{u}) \cong \mathbb{Z}/n\mathbb{Z} \rtimes (\mathbb{Z}/n\mathbb{Z})^{\times}$$

except for a certain GL-structure u' on R_n when $4 \mid n$, in which case

$$\operatorname{Aut}_{\operatorname{GLR}}(R_n, \mathbf{u}') \cong 2\mathbb{Z}/n\mathbb{Z} \rtimes (\mathbb{Z}/n\mathbb{Z})^{\times}.$$

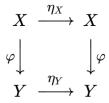
Categorical centers

The following example allows us to compute Z(GLR).

Example

The automorphism group of the free GL-rack on one element is \mathbb{Z}^2 .

Recall from earlier: The **center** of a category C is the commutative monoid Z(C) of natural endomorphisms of the identity functor $\mathbf{1}_{C}$.



Essentially, elements of $Z(\mathcal{C})$ are collections η of morphisms in \mathcal{C} that commute with all other morphisms φ in \mathcal{C} .

Theorem

Let Θ be the collection of θ_R 's for all racks R, and let u be the collection of all GL-structures on racks. Then:

- Z(GLR) is the free abelian group $\langle \Theta, u \rangle \cong \mathbb{Z}^2$.
- 2 The categorical centers of GL-quandles and Legendrian racks are each the free group $\langle u \rangle \cong \mathbb{Z}$.
- The categorical center of Legendrian quandles is the group $\langle u \mid u^2 = 1 \rangle \cong \mathbb{Z}/2\mathbb{Z}.$

Proof sketch.

Each of these categories is *strongly generated* by the free object F on one element. Show that the center is determined by F. Then, relate each group in the claim to the automorphism group of F.

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Equivalence of categories

Questions for future research

Recall:

- A functor $F : \mathcal{C} \to \mathcal{D}$ is an **equivalence of categories** if there's another functor $G : \mathcal{D} \to \mathcal{C}$ such that *FG* and *GF* are *naturally isomorphic* to the identity functors $\mathbf{1}_{\mathcal{C}}$ and $\mathbf{1}_{\mathcal{D}}$, respectively.
- If these natural isomorphisms are actually equalities, then we say that *F* is an isomorphism of categories.

Example

The category of representations of a group G on a field \mathbb{F} is isomorphic to the category of left $\mathbb{F}[G]$ -modules.

Let GLQ be the category of GL-quandles. We will show that Rack and GLQ are isomorphic. Define a functor F : Rack \rightarrow GLQ by

$$R = (X, s) \mapsto (X, \theta_R^{-1} s, \theta_R),$$

and define a functor $G: \mathsf{GLQ} \to \mathsf{Rack}$ by

$$(X, s, u) \mapsto (X, us).$$

They'll fix (homo)morphisms as set maps.

Theorem

The categories Rack and GLQ are equivalent—in fact, isomorphic.

Proof.

After verifying that F and G are (covariant) functors, we simply verify that $FG = \mathbf{1}_{GLQ}$ and $GF = \mathbf{1}_{Rack}$.

Remark

This is cool because of how independently racks and GL-quandles are defined—that these categories are isomorphic is not obvious from the definitions!

Outline

- Historical background
 - Knots and racks
 - GL-racks

2 Applications to Legendrian knot theory

- The Legendrian isotopy problem
- Distinguishing results

Tensor products of racks and GL-racks

- Mediality
- Tensor products
- Nonmedial tensors
- 4 Group-theoretic classifications
 - Classifying GL-structures
 - GL-rack automorphism groups
 - Categorical centers
 - Equivalence of categories

Questions for future research

- Fill out more of the Legendrian knot atlases!
- Contact-geometric characterization of G(Λ)?
- Rack-theoretic invariants of virtual Legendrian links or transverse links?
- More interesting properties of rack tensor products?
- Enriched category theory applied to racks?
- Classify more families of GL-racks!

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